Radiofrequency Transurethral Hyperthermia and Complete Androgen Blockade

A Nonsurgical Approach to Treating Prostate Cancer

Friedrich R. Douwes, M.D., and Shari Lieberman, Ph.D., C.N.S., F.A.C.N.

Prostate cancer (PC) is a major health problem in the Western world being the second most common male malignancy in the European Union. The age-standardized incidence of PC varies more than one hundredfold between areas with the highest incidence (e.g., the United States) and the lowest incidence (e.g., Japan) in the world. In certain parts of the world, in particular the Western countries, the incidence of this disease has increased rapidly over the past 20 years. There are several environmental and genetic factors that partially explain these variations, although the age incidence probably depends most of all on the extent to which small latent tumors are detected. Being that the clinical significance of small tumors is uncertain, the value of early diagnosis and early aggressive treatment is controversial.1

More than half of patients with diagnosed PC do not have metastatic disease. This may be the result of better and earlier screening processes.2 Common treatment of PC with local manifestations includes radical prostatectomy and lymphadenectomy. More than 50 percent of all patients who are diagnosed with a tumor stage of T1-2 are free of lymph node involvement and metastatic disease. If surgery is not possible, radiation therapy is recommended. In advanced cases, androgen blockades are used such as gonadotropin-releasing hormone and/or orchidectomy. Treatments, such as prostatectomy, orchidectomy, and radiation therapy, yield a high risk of incontinence and impotence, making these treatments less than ideal.3

This article provides an overview of local hyperthermia, discusses key studies, and then details the authors’ study of 184 patients with PC who were treated with transurethral hyperthermia (TUH) and complete androgen blockade (CAB).

Overview of Local Hyperthermia

Local or regional hyperthermia is the use of heat applied directly to an area of the body; for cancer treatment the heat is applied to a tumor. This kind of hyperthermia has been used alone or in combination with other therapies as chemotherapy and radiation for treating a variety of cancers in addition to PC, with excellent results. These cancers include melanomas, esophageal or stomach cancers, pancreatic cancers, sarcomas, bone tumors, cervical cancers, head and neck cancers, and rectal cancers. Hyperthermia causes direct cytotoxicity in the tumor, enhances radiosensitivity, and improves the effectiveness of many chemotherapeutic agents in drug-resistant cells.4 Hyperthermia kills cancer cells selectively (because cancer is more sensitive to heat than healthy cells are). This is achieved via:

- Tissue acidosis
- Destruction of tumor capillaries
- Damage to tumor membranes and proteins
- Failure of cellular-repair mechanisms
- Changes in DNA and RNA synthesis
- Increase of free radicals
- Failure of antioxidant systems
- Induction of heat shock proteins (HSPs), which help to “unshield” a tumor, making it more susceptible to destruction by immune factors

It is important to note that only microwave hyperthermia has been approved for use in the United States by the Food and Drug Administration and that it must be given with radiation therapy.

Inmunotherapy of mice with preexisting cancers, using HSP preparations derived from autologous cancer, has retarded progression of the primary cancers, reduced metastatic loads, and prolonged the animals’ lifespans.6 HSP may have immunologic effects because the heat-inducible HSP70 expressed on the cell surface of certain tumor cells acts as a positive recognition signal for natural-killer cells. Therefore, in addition to causing direct cell cytotoxicity, hyperthermia has the additional potential for use in immunotherapy in clinical applications.

Substantial clinical data exist, demonstrating that combining radiation and hyperthermia to treat early stage and advanced tumors of different types was more efficacious and produced less normal tissue toxicity compared to radiation therapy alone.7

Phase I and II trials achieved impressive results in terms of objective response rate, local tumor control, and relapse-free survival for hyperthermia used alone or in conjunction with radiation therapy. Phase II
Several experimental data are available demonstrating the efficacy of combining interstitial hyperthermia and conformal radiation therapy.

Trials have also produced excellent results using hyperthermia with chemotherapy and phase I/II trials are currently underway. Most of these trials are being conducted in Europe. Most studies have used microwave hyperthermia to heat tumors to a temperature of 42.5°C-45°C. Other forms of hyperthermia include electric field, magnetic field, and radiofrequency hyperthermia. Higher temperatures appear to produce the best results.

Key Hyperthermia Studies

It has been suggested that a temperature of 43°C or higher is the optimum temperature to induce the most tumor destruction via apoptosis during hyperthermia. Apoptosis is an important mode of death in heated cells. No significant apoptosis was induced in PC-3 cancer cells when they were heated at 42°C for 240 minutes. Apoptosis is not an important mechanism of death in irradiated PC cells although radiation therapy is used to treat all stages of PC. Clinical and radiobiologic evidence indicate that PC cells are relatively resistant to radiation. Several experimental data are available demonstrating the efficacy of combining interstitial hyperthermia and conformal radiation therapy.

Thermoradiotherapy Study

Researchers from the German Research Society conducted a phase II trial to determine the efficacy of thermoradiotherapy, using interstitial cobalt-palladium thermoseeds for treating patients who had localized PC. Forty-one (41) patients with localized PC were enrolled in the study between July 1997 and April 2000. Interstitial hyperthermia induced in a magnetic field was applied in six sessions, once per week. Conformal three-dimensional radiation therapy was given simultaneously in daily fractions. Intraprostatic temperatures ranged between 42°C and 46°C. No major side-effects were observed during the hyperthermia sessions. The median levels of prostate-specific antigen (PSA) decreased from 11.25 ng/mL to 0.88 ng/mL 3 months after treatment and to 0.38 ng/mL 12 months after treatment with a median follow-up of 10 months. The mean prostate volume decreased from 32.6 mL to 26 mL after 3 months of treatment and to 18.5 mL after 12 months. A significant PSA decrease was observed in the subjects. The researchers concluded that interstitial hyperthermia is a feasible, well-tolerated procedure for PC therapy.

Local Microwave Hyperthermia Study

For another study, short- and long-term results of treating patients with stage III and IV PC were compared in 147 patients who did not have distant metastases. Thirty-eight (38) patients were exposed to radiation and 109 patients underwent hyperthermia treatment and local microwave hyperthermia. The response of the primary tumor in each subject was evaluated via palpation, ultrasonic investigation, and computed axial tomography (CAT) scan. Adjuvant local hyperthermia enhanced antitumor activity of the treatment, raising the rate of a complete response from 69 percent with radiotherapy alone to 94 percent with thermoradiotherapy (hyperthermia plus radiation). Five (5)-year survival in patients with PC at stages III and IV increased from 48 percent to 65 percent.

Transrectal Ultrasound Applicator Study

A study using a transrectal ultrasound applicator for delivering prostate hyperthermia was combined with radiation therapy to treat 26 patients whose median age was 69. Nine (9) patients had well-differentiated adenocarcinoma, 11 patients had moderately differentiated adenocarcinoma, and 6 patients had poorly differentiated adenocarcinoma. All of the patients in this study had American Urologic Society Stage C2-D1 adenocarcinoma. The median pretreatment PSA level was 29 ng/mL. Hyperthermia was administered concurrently with radiation therapy at temperatures of 42.5°C for 30 minutes, using a transrectal ultrasound applicator with 3 thermometry probes, administered as either a single treatment (for 9 patients) or as two treatments (for 17 patients).

The median time to PSA nadir was 15 months, with a median PSA value of 1.0 ng/mL. The median and 5-year overall survival was 88 months and 73 percent respectively, and the median and 5-year biochemical no evidence of disease (bNED) survival was 36 months and 35 percent respectively. Multivariate analysis revealed only the pretreatment PSA level (P = 0.03) and the PSA nadir (P < 0.01) to be significant predictors of bNED survival. The duration of hyperthermia therapy showed a trend toward significance for overall survival (P = 0.06). This phase I/II protocol, evaluating the combination of prostate hyperthermia and external beam radiation therapy for treating patients with locally advanced PC suggests that prostate hyperthermia is feasible with no apparent significant increased toxicity.

Radiofrequency Interstitial Tumor Ablation Hyperthermia Study

For yet another study, radiofrequency interstitial tumor ablation (RITA) hyperthermia was used as a sole method of treatment for inpatients with localized PC.
Researchers suggested that thermoimmunotherapy offers a real alternative for treating advanced neoplasms and opens a new field for experimental and clinical investigation.

Table 1. Staging of PC in 184 Patients Enrolled in Study

<table>
<thead>
<tr>
<th>Diagnosis/age range</th>
<th>Stage</th>
<th>Number of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prostate cancer, histologically verified, patients’ age range 50-87</td>
<td>T1, NO, MO</td>
<td>1816</td>
</tr>
<tr>
<td></td>
<td>T2a, NO, MO</td>
<td>16</td>
</tr>
<tr>
<td></td>
<td>T2b, NO, MO</td>
<td>14</td>
</tr>
<tr>
<td></td>
<td>T3, Nx, MO-x</td>
<td>136</td>
</tr>
</tbody>
</table>

PC = prostate cancer.
Patients had refused prior surgery or radiation because of their anxiety about the procedures and fear of complications.

Materials and Methods for the TUH Study

To test the efficacy of radiofrequency TUH, 184 patients, at the Klinik St. Georg, Bad Aibling, Germany, ages 50-82, with histologically verified PC were staged (see Table 1). Patients with either early stage (T1) or later-stage (T3) PC participated in this study. These patients had refused prior surgery or radiation because of their anxiety about the procedures and fear of complications, such as incontinence, impotence, metastasis, castration, retrograde ejaculation, anesthesia-related problems, infection, and bleeding. Psychologic reasons also played a role in these patients' refusal to receive surgery or radiation treatment. Informed consent was recorded for all patients.

Treatment was performed with the Thermex II (Direx Medical Systems, Ltd. Peta Tikva, Israel) using a transurethral antenna. (This antenna is used to apply heat directly to the area around the urethra and provides continuous measurement of the highest temperature, allowing safe and effective distribution of heat throughout the prostate.)

Patients received a total of 2-3 treatment sessions, with each session lasting 1 hour under local anesthesia. Prostate temperatures were between 47°C and 48°C for the duration of each session. Monitoring of the temperatures was performed via direct measurement at the thermal electrode.

The patients also received CAB for 6 months, using the combination of luteinizing hormone-releasing hormone antagonists, androgen antagonists, or 5-oc-reductase inhibitors. The subjects were monitored regularly in an outpatient department. Checkups were performed at 6 weeks, 3 months, 6 months, and 12 months. Monitoring was performed regularly via clinical examinations, laboratory tests, and appropriate imaging techniques.

Table in Prostate 2. Objective Results as Demonstrated Glands of 184 Patients After TUH with CAB

<table>
<thead>
<tr>
<th>Tumor stage</th>
<th>Number of patients</th>
<th>Gland smaller</th>
<th>Gland softer</th>
<th>No change in gland</th>
<th>Gland larger or harder</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1 NO-1 MO</td>
<td>16</td>
<td>5</td>
<td>8</td>
<td>8</td>
<td>0</td>
</tr>
<tr>
<td>T2b NO MO</td>
<td>32</td>
<td>15</td>
<td>21</td>
<td>11</td>
<td>0</td>
</tr>
<tr>
<td>T1-4 Nx MO-x</td>
<td>136</td>
<td>81</td>
<td>77</td>
<td>55</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>184</td>
<td>101 (55%)</td>
<td>101 (55%)</td>
<td>74 (40%)</td>
<td>0</td>
</tr>
</tbody>
</table>

Rectal examinations were performed 6 weeks after treatment; TUH = transurethral hyperthermia; CAB = complete androgen blockade.
Subjective and objective micturition parameters improved significantly in more than 60 percent of the patients.

The average residual volume, which was measured by ultrasound, was reduced from 120 mL to 60 mL at 3 months and remained at 40 mL at 6 months, 9 months, and 12 months after treatment (Figure 2).

Voiding time was reduced an average of 70-42 seconds at 6 months. Although 5 patients had had total urinary retention, all patients improved to the degree that their catheters could be removed. The subjective and objective micturition parameters improved significantly in more than 60 percent of the patients.

Three (3) months after treatment, the total number of remissions observed was 91 of 184 (49.5 percent), the total number of partial responses was 28 of 184 (15.0 percent) for the same period.

<table>
<thead>
<tr>
<th>Tumor stage</th>
<th>Number of patients</th>
<th>Volume (mL) before treatment</th>
<th>Average volume (mL) at 3 months</th>
<th>Volume (mL) after 6 months</th>
<th>Volume (mL) at 9 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1,NO-x, MO</td>
<td>16</td>
<td>30</td>
<td>28</td>
<td>27</td>
<td>25</td>
</tr>
<tr>
<td>T2a-b, NO-1, MO</td>
<td>32</td>
<td>34</td>
<td>29</td>
<td>25</td>
<td>22</td>
</tr>
<tr>
<td>T1-4, Nx, MO-x</td>
<td>136</td>
<td>56</td>
<td>41</td>
<td>36</td>
<td>33</td>
</tr>
</tbody>
</table>

There was a significant reduction of prostate volume noted in patients with all stages of disease.

TUH = transurethral hyperthermia; CAB = complete androgen blockade; PC = prostate cancer.
One hundred percent of patients with early stage prostate cancer experienced complete remission during the twelve-month observation period.

In patients at the later stages of PC, T1-4, Nx, MO-x, 43 of 136 (31.6 percent) had complete responses, 28 of 136 (20.5 percent) had partial responses, 29 of 136 (21.3 percent) had no change and no progression of disease, and 36 of 136 (26.4 percent) had progressive disease. The total response of all groups was 91 of 184 (49.5 percent), complete response; 28 of 184 (15 percent), partial response; 29 of 184 (15.2 percent), no change (and no progressive disease); and 36 of 184 (19.6 percent), progressive disease. Remission was verified by imaging techniques and laboratory tests. In those patients who experienced complete remission 91 of 184 (49.5 percent), the PSA value progressively dropped on average from 750 ng/mL to 200 ng/mL at 3 months, to 30 ng/mL at 6 months, and to <5 ng/mL at 9 and 12 months (Figure 3). As reported in earlier literature, transurethral hyperthermia is effective for lowering PSA levels to normal in patients who respond to treatment.

All patients who did not live near the clinic were instructed to seek follow-ups by their urologists and to have follow-up reports sent to the clinic. The clinic followed all local patients. While it is often-times difficult, if not impossible, to follow patients for 5 years, 38 of the 43 patients with late stage PC who had complete remissions were followed for 5 years with no serious symptoms after 5 years. Two (2) patients died of causes unrelated to PC. Five (5) patients had local recurrences and were treated with TUH and CAB with complete remission observed and confirmed via biopsy, laboratory tests, and appropriate imaging analysis.

### Table 4. Response of 184 Patients with PC to TUH and CAB

<table>
<thead>
<tr>
<th>Tumor stage</th>
<th>Number of patients</th>
<th>CR (100%)</th>
<th>PR (20.5%)</th>
<th>NC (21.3%)</th>
<th>PD (26.4%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1, NO-x, MO</td>
<td>16</td>
<td>16</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>T2a-b, NO, MO</td>
<td>32</td>
<td>33</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>T3-4, Nx, MO-x</td>
<td>136</td>
<td>43(31.6%)</td>
<td>28(20.5%)</td>
<td>29(21.3%)</td>
<td>36(26.4%)</td>
</tr>
<tr>
<td>Total</td>
<td>184</td>
<td>91(49.5%)</td>
<td>28(15%)</td>
<td>29(15.2%)</td>
<td>36(19.6%)</td>
</tr>
</tbody>
</table>

Note: Of the 184 patients, 141 (76%) responded to treatment, 36 (19.5%) had no response. All patients with early stage disease, 16 of 16 (100%) and 32 of 32 (100%) responded to treatment, PC = prostate cancer; TUH = transurethral hyperthermia; CR = complete remission; PR = partial response; NC = no change; PD = progressive disease; N/A = not applicable.
Discussion

TUH to the prostate combined with CAB produced excellent results for patients with early stage PC, with 100 percent experiencing complete remission during the 12-month observation period. For early stage PC, removal of the prostate gland and/or radiation plus androgen blockade is the current conventional treatment of choice. However, this treatment modality carries significant risk of producing incontinence and/or impotence. In addition, survival of these patients appears to be similar whether they are treated or untreated, casting some doubt upon enhancement of survival.

TUH is an effective, yet nonaggressive, treatment that improves micturition parameters and selectively kills PC cells without damaging surrounding tissue. Radiation therapy is not as selective and secondary cancers are not uncommon consequences of radiation therapy.

Surgery carries the greatest risk of producing incontinence and impotence relative to radiation therapy. TUH therapy carries none of these risks but rather reduces all PC symptoms.

In our study sample, all patients were able to have catheters removed, even patients with late-stage disease who did not respond to treatment. CAB appears to augment TUH therapy, given the overall response rate of 184 patients, most notably, the 100-percent remission in patients with early stage PC, a result that has not been reported in previous studies.

Early adjuvant hormonal therapy has been shown in retrospective studies to have a significant impact on time to progression and to cause specific survival in patients with seminal-vesicle invasion and limited lymph-node disease who undergo radical prostatectomy. Hormonal therapy plus TUH appears to be a viable alternative to radical prostatectomy for patients with PC. Furthermore, unlike surgery or radiation, TUH appears to have a direct impact on lymph-node invasion perhaps via production of HSP and immune activation.

The authors feel strongly that the major benefit of TUH using radiofrequency is the ability of this therapy to raise prostate temperature to 47°C-49°C. It has been shown that optimal "killing" temperatures are at or above 43°C. Most of the studies reviewed did not exceed temperatures of 43°C. Also, during the 30-minute treatment sessions, the 43°C temperature was maintained for a short time—less than 5 minutes.

Finally, most of the studies only performed one hyperthermia treatment for 30 minutes as the treatment protocol with or without concurrent radiation treatment. None of the studies had intraprostate temperatures between 47°C and 49°C for 23 treatments lasting 1 hour per session, as described in this study. Time and temperature are both factors for optimizing hyperthermia treatment, the results of this TUH with CAB study.

Masunaga and colleagues studied the thermal clearance rate (TCR) of many different types of tumors, using local hyperthermia. Tumors were heated twice per week for 30-60 minutes per session up to a total of 2-11 sessions. Blood flow in the tumors was evaluated from the rate of TCR. Changes in TCR were closely related to average tumor-center temperature, changes in tumor number (as evaluated by CAT scan), and tumor response.

When smaller and more superficial tumors were treated by hyperthermia, combined with radiation and/or chemotherapy that consisted of many heating sessions, and during which a high average tumor-center temperature was achieved, a better tumor response was obtained. The better the tumor response was, the higher the local control rate became. The specific survival rates of patients who had good tumor responses were higher than those of patients who had poor tumor responses.

It is clear that higher intratumor temperature and maintenance of intratumor temperature for a longer period of time can achieve greater results. Unlike surgery and radiation, TUH also enhances immune function, induces apoptosis in PC cells only, and produces HSPs that assist in tumor recognition by unshielding tumors and making them more susceptible to immune-system attack.

TUH also significantly lowers PSA to normal levels in patient responders as reported previously. While 3 sessions of hyperthermia reduced signs and symptoms of PC in 53 percent of patients with...
advanced PC in these studies, no complete remissions were reported, although 2 of the 15 patients had bone metastases disappear.

This TUH with CAB study showed that 31.6 percent of patients with advanced PC had complete remissions, 20.5 percent had partial remissions, and 21.3 percent had no progression of disease.

Future Research

Because all patients at early stage PC in this study responded to this treatment protocol with 100-percent remission in this group, the authors agree that TUH with CAB is an excellent first-line therapy with far less risk than more aggressive therapy involving prostatectomy. While the results patients with later stage PC were still as good as or better than conventional therapy, there are also fewer side-effects associated with this treatment. In addition, unlike what occurs with adjuvant chemotherapy and radiation, patients do not appear to develop resistance to subsequent treatment if this becomes necessary.

However, it is important to find ways to increase the remission and response rate in patients with later-stage PC. Immunotherapy may be the missing link as reported by Szmigielski, and in Germany, there are many products approved for augmenting immune function in patients with cancer. The addition of immunotherapy to this treatment protocol has yielded promising results at the Klinik St. Georg for patients with late stage PC. Immunotherapy is used as part of the clinic’s protocol for treating many types of early and late stage cancer, producing excellent results. Future research will be directed toward adding immunotherapy to the treatment protocol for patients with later stage PC, with the hope of achieving statistically significant results.

References


Friedrich R. Douwe, M.D., is the founder and director of the Klinik St. Georg Integrative Cancer Treatment Center, Bad Aibling, Germany. Shari Lieberman, Ph.D., C.N.S., F.A.C.N., is a research scientist and industry consultant in New York City.

To order reprints of this article, write to or call: Karen Ballen, ALTERNATIVE & COMPLEMENTARY THERAPIES, Mary Ann Liebert, Inc., 2 Madison Avenue, Larchmont, NY 10538-1961, (914) 834-3100.